FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	.C. 20549
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol CIENA CORP CIEN									5. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
SMITH GARY B							001							X	Direc	tor		10% O	wner
(Last)	(Fir	st) (N	(Middle)				Date of Earliest Transaction (Month/Day/Year)								Office below	cer (give title ow)		Other (s	specify
C/O CIENA CORPORATION					12/13/2022								President, CEO						
7035 RIDGE RD.																			
				4. If	4. If Amendment, Date of Original Filed (Month/Day/Year)								6. Individual or Joint/Group Filing (Check Applicable						
(Street)			C 1.40 C										ine) X	ne)  X Form filed by One Reporting Person					
HANOVER MD 21076		6-1426								Form filed by More than One Reporting									
(City) (State) (Zip)													Pers	on					
(Oity)	(00	, ,	-		<u> </u>														
		Table	1 - 1	Non-Deriva	tive	Secui	rities	Acc	quir	ed, Di	sposed o	of, or	Benefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea				2A. Deemed Execution Dail if any (Month/Day/		n Date,	Date, Tr			4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 ar			nd 5) See Bei Ow		ount of ities icially d Following	6. Ownership Form: Direct (D) or Indirect (I)		7. Nature of Indirect Beneficial Ownership	
									v	Amount	(A) or (D)	Price			ted action(s) 3 and 4)	(Instr. 4)		(Instr. 4)	
Common Stock 12/13/202.			2			S		3,541(1)	D	\$50.75	50.7514(2)		379,957 <sup>(3)</sup>		D				
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
				(e.g., pu	ıts, c	alls, v	warra	nts,	, op	tions,	converti	ble se	curitie	s) Î					
Derivative Conversion Date Executive or Exercise (Month/Day/Year) if any			Deemed ecution Date, ny onth/Day/Year)		5. Numb of Derivati Securiti Acquire (A) or Dispose of (D) (Instr. 3 and 5)		rative rities ired r osed )	Ex	Date Exe piration I onth/Day		Amo Secu Unde Deri	ele and unt of irities erlying vative irity (Instr. d 4)	Der Sec	rice of ivative urity tr. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownersh Form: Direct (D or Indire (I) (Instr.	Ownership	Beneficial Ownership tt (Instr. 4)	
					Code	v	(A)	(D)	Dat	te ercisable	Expiration Date	n Title	Amount or Number of Shares						

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 3/9/2022.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$50.32 to \$51.775. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: Gary B Smith

12/15/2022

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.