FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington,	$D \subset$	20549	
vasilligion,	D.C.	20349	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL									
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     Rothenstein David M						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									k all app Direc	,	ng Per	rson(s) to Is 10% O Other (	wner	
(Last) C/O CIE 7035 RII	(Fii NA CORPO DGE RD.	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 06/20/2020									X	below			below)		
(Street) HANOV (City)			1076-1 Zip)	426	4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)									Individual or Joint/Group Filing (Check Applicable e)  X Form filed by One Reporting Person Form filed by More than One Reporting Person					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Dat			Date (Month/Day/Year)		Execution Date,		Transaction		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)			4 and Secu Bene Own		ially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
								Code	v	Amount	(A) (D)	Or Pric	e	Report Transa (Instr. 3	ction(s)			(Instr. 4)		
Common Stock 06/					2020				F		598(1)	D	\$5	3.62	268	3,533 <sup>(2)</sup>		D		
Common Stock 06/20/				06/20/2	.020			F		453(3)	D	\$5	53.62 268,080 <sup>(2)</sup>		,080(2)		D			
Common Stock 06/20/				06/20/2	2020			F		418(4)	D	\$5	3.62	267	,662 <sup>(2)</sup>		D			
Common Stock 06/20/2				2020				F		468(5)	D	\$5	3.62	267	267,194 <sup>(2)</sup>		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	rivative Conversion or Exercise Price of Derivative Security  Date (Month/Day/Year)  (Month/Day/Year)  Execution Date, if any (Month/Day/Year)  (Month/Day/Year)		4. Transa Code ( 8)			6. Date Exercisable Expiration Date (Month/Day/Year)  Date Exercisable Expira		te ear)	Amount of Securities Underlying Derivative Security (Ins 3 and 4)  Amou or Numb of		unt per		9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				

## **Explanation of Responses:**

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/12/2017. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/14/2017.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/18/2018. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2018.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2019.
- 5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.

By: Michelle Rankin For: 06/20/2020 David M Rothenstein

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.