SEC Form 4

FORM 4

Check this box if no longer subject

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287

0.5

	to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).	File	d pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940	L		Estimated average burden hours per response:		0.5	
	1. Name and Address of Reporting Per OBRIEN JUDITH M	son [*]	2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [CIEN]		all applicab	ole)	son(s) to Issue 10% Owner		
	(Last) (First) C/O CIENA CORPORATION	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/05/2022	-	Officer (gi below)	ve title	Other (spec below)	ify	
7035 RIDGE RD.			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Chec Line)				cable	
	(Street) HANOVER MD	21076-1426		X		l by One Repo I by More than	orting Person n One Reportin	g	

(City)	(State)	(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 3. 4. Securities Acquired (A) or 5. Amount of									6. Ownership	7. Nature
	Date (Month/Day/Year)	Execution Date, if any (Month/Day/Year)		ransaction Disposed Of (D) (Instr. 3, 4 ar ode (Instr. 5)			Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	04/05/2022		S		2,839(1)	D	\$61.4	68,341 ⁽²⁾	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerc Expiration Da (Month/Day/\	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v			Date Exercisable	Expiration Date	Title	Amount or Number of Shares			

Explanation of Responses:

1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 12/27/2021.

2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Michelle Rankin For: Judith M. O'Brien

04/06/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.