FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Vashington.	D.C. 2	0549		

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan for the purchase or sale of equity securities of the issuer that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name ar <u>DiPern</u>		Reporting Person*					ame <b>and</b> Tick			Symbol				neck all ap Dire	ctor	Ü	10% Ov	vner
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.			3. Date of Earliest Transaction (Month/Day/Year) 12/17/2024								Officer (give title Other (specify below)  SVP Global R&D							
(Street) HANOV (City)			1076-1 Zip)	426	4. If Amendment, Date of Original Filed (Month/Day/Year)						Lin	e) Forr						
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																		
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day			Execution Date,				es Acquired (A) Of (D) (Instr. 3, 4					Forn (D) o	rm: Direct or Indirect Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
								Code	v	Amount		(A) or (D)	Price	Trans	action(s) 3 and 4)			(111501. 4)
Common Stock 12/17/2			2024			A		12,764(1	.)	A	\$0.0	) 5	,524(2)		D			
Common Stock 12/17/2		2024		A		5,231(3)		A	\$0.0	) 50	56,755 <sup>(2)</sup>		D					
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	Transaction of		6. Date Exercisable and Expiration Date (Month/Day/Year)		Ar Se Ur De Se	Amount of Securities Se		8. Price of Derivative Security (Instr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

1. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year, commencing on March 20, 2025.

Exercisable

- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Reflects the number of stock units earned pursuant to the terms of a performance stock unit (PSU) award granted on December 12, 2023 reflecting the achievement of the related performance conditions. These shares vest in equal 1/2 amounts on each of December 20, 2024 and 2025

(D)

(A)

By: Michelle Rankin For: 12/18/2024 Dino Diperna

\*\* Signature of Reporting Person Date

Amount or Number

**Shares** 

Expiration

Date

Title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.