FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| wasinigton, | D.C. | 20040 | |
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| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(h) |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Rothenstein David M | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | | | all app | olicable) | | Issuer Owner r (specify |
|---|---|------|-----------|---------|--|--|--|-------|---------------------------------------|--|---------------------|---|---|--------------|--|------------------------------------|---|---|--|
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 12/15/2015 | | | | | | | | | | Λ. | Sr. VP, Gen | | belov veral Counse | ′ |
| (Street) HANOVER MD 21076-1426 (City) (State) (Zip) | | | | | _ 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| | | Tabl | le I - No | n-Deriv | ative/ | Se | curitie | es Ac | quired, | Dis | posed o | f, or | Ben | eficia | ally (| Owne | ed | | |
| D | | | | | Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Transaction Dispos Code (Instr. 5) | | Disposed | rities Acquired (A) ed Of (D) (Instr. 3, 4 | | | 4 and Sec Bei | | ount of ities icially d Following ted | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | | | | | Code | v | Amount | (| A) or D) | Price | | Transaction(s) (Instr. 3 and 4) | | | (|
| Common Stock | | | | | 12/15/2015 | | | | S | | 1,500(| 1) D \$ | | \$19 | 9.42 239,909(2 | | 9,909(2) | D | |
| | | Та | | | | | | | | | sed of, onvertib | | | | y Ov | vned | | | |
| 1. Title of Derivative Security (Instr. 3) | Derivative Security Conversion Date Date Security Or Exercise (Month/Day/Year) Execution Date, if any | | | | 4. Transaction Code (Instr. 8) | | n of Deriv Secu Acqu (A) o Disp of (D (Inst | of E | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) | | | ice of vative urity r. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | | Code | v | (A) | (D) | Date Exercisa | | Expiration Date | Title | Nur | mber ares | | | | | |

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 1/15/2015.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Erik Lichter For: David M. Rothenstein

12/16/2015

** Signature of Reporting Person

n Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.