FORM 4

obligations may continue. See

Instruction 1(b)

Check this box if no longer subject to Section 16. Form 4 or Form 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB Number: Estimated average burden hours per response: 0.5

OMB APPROVAL

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* PETRIK ANDREW C | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | (Check | all app Direc | onship of Reporting all applicable) Director Officer (give title | | 10% C | wner |
|--|-----|--|-------------------|--|---|--|------------------------|---|---------|-------------------|---|------|------------------------|---|--------------------------------------|---|---|---|--|---|
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD. | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/21/2016 | | | | | | | | | | X | Officer (give title Other (specification) VP, CONTROLLER | | | | |
| Street) HANOVER MD 21076-142 (City) (State) (Zip) | | | 26 | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | 6. Indiv Line) X | vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | | |
| (0.5) | (0. | | e I - Nor | n-Deriv | ative | Se | curiti | es Ac | quired, | Dis | posed o | f, o | r Ben | efic | ially | Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transa Date | | | | | te onth/Day/Year) | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | action (Instr. | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 | | | | 4 and Sec Ben Owi | | ount of ities icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | | | Code | v | Amount | | (A) or (D) | Pric | e | | action(s) 3 and 4) | | | (Instr. 4) |
| Common Stock 09/21/ | | | | | 2016 | | | | | | 191(1) | | D | \$21.45 | | 63,663 ⁽²⁾ | | | D | |
| Common Stock 09/21 | | | | | 1/2016 | | | | S | | 117(3) | | D | \$21.45 | | 63,546 ⁽²⁾ | | | D | |
| Common Stock 09/21 | | | | | 21/2016 | | | | S | | 172(4) | | D | \$21.45 | | 63,374 ⁽²⁾ | | | D | |
| Common Stock 09/21/ | | | | | /2016 | | | | S | | 144 ⁽⁵⁾ | | D | \$24 | 4.45 | 63,230(2) | | | D | |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | | | Date, Transaction | | Instr. | of Deri Seci Acq (A) o Disp | osed)) :r. 3, 4 | 6. Date Expiration (Month/E | on Date | е | 7. Title and Amount of Securities Underlying Derivative Security (Instrand 4) Amou or Numb of Title Share | | nstr. 3 | Deri | rice of vative urity tr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | F C | 10. Ownership Form: Direct (D) or Indirect I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |

Explanation of Responses:

- $1. Shares \ reported \ represent an amount sold to cover the tax liabilities of the \ reporting \ person \ pursuant to \ a \ 10b5-1 \ sales \ instruction \ related to \ a \ restricted \ stock \ unit \ (RSU) \ award \ agreement \ dated \ 12/18/2012.$ Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/20/2012.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2013.Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/19/2013.
- 4. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/17/2014. $Acquisition \ of the \ RSU \ was \ previously \ reported \ in \ Table \ I \ of \ reporting \ person's \ Form \ 4 \ on \ 12/19/2014.$
- 5. Shares reported represent an amount sold to cover the tax liabilities of the reporting person pursuant to a 10b5-1 sales instruction related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.

By: Michelle Griswold For: Andrew C. Petrik

09/22/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.