Instruction 1(b).

FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     SMITH GARY B						2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									tionship of Reporting all applicable)  Director		10% Ov		ner
(Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.					3. Date of Earliest Transaction (Month/Day/Year) 07/20/2022									X Officer (give title Other (specify below)  President, CEO					
(Street) HANOVER MD 21076-1426 (City) (State) (Zip)			6-1426	4. If Amendment, Date of Original Filed (Month/Day/Year)									. Indiv ine) X	' I					
		Table	I - I	Non-Deriva	tive	Secui	rities	Acc	quir	ed, D	isposed o	of, or l	Benefic	ially	Own	ed			
1. Title of Security (Instr. 3)  2. Transaction Date (Month/Day/Yea				ar) E	2A. Deemed Execution Date, if any (Month/Day/Yea		T	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and			nd 5) Secui Benet		icially d Following	Forr (D) ( Indi	wnership m: Direct or rect (I) tr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
							С	ode	v	Amount	(A) or (D)	Price		Transa	saction(s) : 3 and 4)		u. 4)	(11150.4)	
Common Stock 07/20/202			.2			S		3,542(1)	D	\$49.209	\$49.2096(2)		420,795(3)		D				
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	ve Conversion Date Execution Date, or Exercise (Month/Day/Year) if any			action (Instr.			Expiration Date (Month/Day/Year)			Amo Secu Unde Deriv Secu 3 and	Amount or Number of	nt er		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 105-1 trading plan dated 03/09/2022.
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$48.795 to \$49.70. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: Gary B Smith

\*\* Signature of Reporting Person Date

07/22/2022

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.