FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D	.C. 20549
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STATEMENT	<b>OF CHANGES</b>	IN BENEFICIAL	OWNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  ALEXANDER STEPHEN B				2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									(Chec	k all app Direc	,	ng Pers	son(s) to Is 10% O Other (	wner	
	(Fir	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 10/17/2022									X	below)  SVP, Chief Technology			below)	' '
7035 RIDGE RD.  (Street)  HANOVER MD 21076-1426  (City) (State) (Zip)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indi Line)	·							
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	3ene	ficially	/ Own	ed			
1. Title of Security (Instr. 3)  2. Transact Date (Month/Day)			Execution Date		Date,	3. Transaction Code (Instr. 8) 4. Securiti Disposed 5)				4 and Secur Benef		cially I Following	Form	nership : Direct · Indirect str. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
								Code	v	Amount	(A) (D)	or P	rice	Transaction(s) (Instr. 3 and 4)				(111341. 4)	
Common Stock 10/17/2				2022				S		2,000(1)	1	D \$40.3		32 23,470(2)			D		
		Tal									osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any				ransaction of ode (Instr. Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)			7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		De Se (In	Price of rivative curity str. 5)	9. Number derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Ownership Form:	Beneficial Ownership t (Instr. 4)		
			Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Numl of Share								

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 6/23/2020.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: STEPHEN BRUCE **ALEXANDER** 

10/18/2022

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.