FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. 20549 |
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| Check this box if no longer subject |
|-------------------------------------|
| to Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(b). |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* Rothenstein David M | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | | all app | o of Reportir licable) tor er (give title | ng Pe | 10% O | | |
|---|---|-------|-------|----------------------------|--|--|---------|---|----------------------------------|--|----------|-------------|--|----------|---------------------------|---|--|--|--|--|--|
| (Last) (First) (Middle) C/O CIENA CORPORATION | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 06/15/2020 | | | | | | | | | | below) Sr. VP, Genera | | eral | below) | | |
| 7035 RIDGE RD. (Street) HANOVER MD 21076-1426 (City) (State) (Zip) | | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | | Individual or Joint/Group Filing (Check Applicable ne) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | Table | 1 - 1 | Non-Deriva | tive | Secui | rities | Acc | quir | ed, D | isposed | of | , or E | Benefic | ially | Own | ed | | | | |
| 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Yea | | | | ear) E | 2A. Deemed Execution Da if any (Month/Day/Y | | Ti C | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 an | | | | 5) | Securi Benefi Owner | 5. Amount of Securities Beneficially Owned Following Reported | | Ownership m: Direct or irect (I) str. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | С | ode | v | Amount | () () | A) or D) | Price | | Transa | ransaction(s) nstr. 3 and 4) | | u. 4) | (111501.4) | | |
| Common Stock 06/15/2020 | | | | | | o | | | S | | 2,500(1) | | D | \$52.12 | 52.1205 ⁽²⁾ | | 269,131 ⁽³⁾ | | D | | |
| | | Tal | ble | II - Derivati (e.g., pu | | | | | | , | • | , | | | • | Owne | d | | | | |
| 1. Title of Derivative Security (Instr. 3) | erivative Conversion Date Execution Date, ecurity or Exercise (Month/Day/Year) if any | | | | saction e (Instr. | Str. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | Expiration Date (Month/Day/Year) | | | | e and unt of rities rityling ative rity (Instr. 4) Amount or Number of Shares | nt er | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |

Explanation of Responses:

- $1. \ Sales \ were \ effected \ pursuant \ to \ Rule \ 10b5-1 \ trading \ plan \ dated \ 12/20/2019.$
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$51.39 to \$52.59. Upon request by the SEC, full information regarding the number of shares sold at each separate
- 3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 06/15/2020 David M Rothenstein

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.