FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPRO                | DVAL      |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     Rothenstein David M                                                                                |                                                                        |  |  |       |                                | 2. Issuer Name <b>and</b> Ticker or Trading Symbol CIENA CORP [ CIEN ] |                                                         |                                 |                                                                |             |                    |                                                                                                   |        |                       |                                                                                                                                                | all app                   | olicable)                                                                                       |                                                                   | ssuer Owner (specify                                               |
|----------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------|--|--|-------|--------------------------------|------------------------------------------------------------------------|---------------------------------------------------------|---------------------------------|----------------------------------------------------------------|-------------|--------------------|---------------------------------------------------------------------------------------------------|--------|-----------------------|------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------|--------------------------------------------------------------------|
| (Last) (First) (Middle) C/O CIENA CORPORATION 7035 RIDGE RD.                                                                                 |                                                                        |  |  |       |                                | 3. Date of Earliest Transaction (Month/Day/Year) 07/14/2017            |                                                         |                                 |                                                                |             |                    |                                                                                                   |        |                       | Λ                                                                                                                                              | belov                     | ,                                                                                               | belov<br>neral Counsel                                            | ,                                                                  |
| (Street) HANOVER MD 21076-14 (City) (State) (Zip)                                                                                            |                                                                        |  |  | 26    | 4. If                          | 4. If Amendment, Date of Original Filed (Month/Day/Year)               |                                                         |                                 |                                                                |             |                    |                                                                                                   |        |                       | 5. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |                           |                                                                                                 |                                                                   |                                                                    |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned                                                             |                                                                        |  |  |       |                                |                                                                        |                                                         |                                 |                                                                |             |                    |                                                                                                   |        |                       |                                                                                                                                                |                           |                                                                                                 |                                                                   |                                                                    |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Da                                                                                   |                                                                        |  |  |       |                                | Execution Da                                                           |                                                         | Date,                           | 3.<br>Transaction<br>Code (Instr.<br>8)                        |             |                    |                                                                                                   |        |                       | 4 and Sec<br>Ben                                                                                                                               |                           | cially<br>d Following                                                                           | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |
|                                                                                                                                              |                                                                        |  |  |       |                                | Code                                                                   | v                                                       | Amount                          |                                                                | A) or<br>D) | Price              |                                                                                                   | Transa | action(s)<br>3 and 4) |                                                                                                                                                | (111341.4)                |                                                                                                 |                                                                   |                                                                    |
| Common Stock 07/14/2                                                                                                                         |                                                                        |  |  |       |                                |                                                                        |                                                         |                                 | S                                                              |             | 2,000(1            | 1)                                                                                                | D \$25 |                       | .69                                                                                                                                            | 69 269,565 <sup>(2)</sup> |                                                                                                 | D                                                                 |                                                                    |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |                                                                        |  |  |       |                                |                                                                        |                                                         |                                 |                                                                |             |                    |                                                                                                   |        |                       |                                                                                                                                                |                           |                                                                                                 |                                                                   |                                                                    |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                                                                          | rative Conversion Date Execution D or Exercise (Month/Day/Year) if any |  |  | Date, | Date, Transaction Code (Instr. |                                                                        | 5. Numof Derive Securion (A) or Dispoor (D) (Instrand 5 | ative<br>rities<br>ired<br>osed | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |             |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |        |                       |                                                                                                                                                |                           | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                                                                                                                              |                                                                        |  |  |       | Code                           | v                                                                      | (A)                                                     | (D)                             | Date<br>Exercisa                                               |             | Expiration<br>Date | Title                                                                                             | or     | nber                  |                                                                                                                                                |                           |                                                                                                 |                                                                   |                                                                    |

## **Explanation of Responses:**

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 1/13/2017.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Griswold For: David M. Rothenstein

07/17/2017

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.