FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPRO               | VAL       |  |  |  |  |
|---|-------------------------|-----------|--|--|--|--|
|   | OMB Number:             | 3235-0287 |  |  |  |  |
| l | Estimated average burde | en        |  |  |  |  |
| l | hours per response:     | 0.5       |  |  |  |  |

|   | Check this box if no longer subject to                     |  |  |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|--|--|--|
| ) | Section 16. Form 4 or Form 5 obligations may continue. See |  |  |  |  |  |  |  |  |  |  |
|   | obligations may continue. See                              |  |  |  |  |  |  |  |  |  |  |
|   | Instruction 1(b)   |  |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

|                        |                        |   |  |         |                     |  |  | . ,              |                    |   |                      |                 |  |              |   |                    |                                 |                               |                           |  |
|------------------------|------------------------|---|--|---------|---------------------|--|--|------------------|--------------------|---|----------------------|-----------------|--|--------------|---|--------------------|---------------------------------|-------------------------------|---------------------------|--|
| 1. Name an             |                        | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ] |  |         |                     |  |  |                  |                    | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |                      |                 |  |              |   |                    |                                 |                               |                           |  |
| SMITH GARY B           |                        |   |  |         |                     |  | CILITI COIN [ CILIT ]                                    |                  |                    |   |                      |                 |  |              | X Director  |                    | ctor                            | 10% (                         | Owner                     |  |
| -                      |                        |   |  |         |                     |  |  |                  |                    | X   | Offic                | er (give title  | Other                                  | (specify     |   |                    |                                 |                               |                           |  |
| (Last)                 |                        | 3. 🛭  | 3. Date of Earliest Transaction (Month/Day/Year) |         |                     |  |  |                  |                    |   | Λ                    | belo            | w)                                     | below        | )   |                    |                                 |                               |                           |  |
| C/O CIE                | 05/                    | 05/28/2013  |  |         |                     |  |  |                  |                    | PRESIDENT, CEO  |                      |                 |  |              |   |                    |                                 |                               |                           |  |
| 1201 WINTERSON ROAD    |                        |   |  |         |                     |  |  |                  |                    |   |                      |                 |  |              |   |                    |                                 |                               |                           |  |
| 1201 WINTERSON ROAD    |                        |   |  |         |                     |  | A If Amandment Date of Original Filed (Month/D-:-0/)     |                  |                    |   |                      |                 |  |              | C. Ladinida et an InitatiOnnana Filipp (Objects Applicable  |                    |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  | 4. If Amendment, Date of Original Filed (Month/Day/Year) |                  |                    |   |                      |                 |  |              | 6. Individual or Joint/Group Filing (Check Applicable Line) |                    |                                 |                               |                           |  |
| (Street)               | TIM                    | MD  | 3  | 1090    |                     |  |  |                  |                    |   |                      |                 |  |              | X Form filed by One Reporting Person                        |                    |                                 |                               |                           |  |
| LINITH                 | JUM,                   | MID   | 2  | 1090    |                     |  |  |                  |                    |   |                      |                 |  |              | Form filed by More than One Reporting                       |                    |                                 |                               |                           |  |
| -                      |                        |   |  |         |                     | -  |  |                  |                    |   |                      |                 |  |              |   | Person             |                                 |                               |                           |  |
| (City)                 |                        | (State  | e) (2  | Zip)    |                     |  |  |                  |                    |   |                      |                 |  |              |   |                    |                                 |                               |                           |  |
|                        |                        |   | Tabl   | e I - 1 | Non-Deriv           | ative  | Sec  | uritie           | s Ac               | cquir   | ed, Di               | isposed o       | of, or E                               | Benefic      | ially (   | Owne               | ed                              |                               |                           |  |
| 1. Title of S          | Security (I            | nstr. 3   | 3)   |         | 2. Transacti        | on   | Execution Date,  |                  |                    | 3. 4. Securities Acquired (A) or  |                      |                 |  |              |   |                    | ount of                         | 6. Ownership                  | 7. Nature                 |  |
|                        |                        |   |  |         | Date<br>(Month/Day) | /Year)                                       |  |                  |                    | Transaction Disposed Of (D) (Instr. Code (Instr.                        |                      |                 | r. 3, 4 and                            |              |   | rities<br>ficially | Form: Direct<br>(D) or Indirect | of Indirect<br>Beneficial     |                           |  |
| (Monthibay)            |                        |   |  |         |                     | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,      | (Month/Day/Year)   |                  |                    |   |                      |                 |  |              | Owne  |                    | d Following   (I                | (I) (Instr. 4)                | Ownership<br>(Instr. 4)   |  |
|                        |                        |   |  |         |                     |  |  |                  |                    | Code V  |                      | Amount          | (A) or (D) Price                       |              | Reported<br>Transaction(s)<br>(Instr. 3 and 4)              |                    | action(s)                       |                               | (111511.4)                |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   | ľ                    | Amount          |  |              |   |                    | . 3 and 4)                      |                               |                           |  |
| Common                 | 013                    | 13  |  |         |                     |  | 2,900(1)   | D                | \$15.92            | .83 <sup>(2)</sup>  | 331,629(3)           |                 | D                                      |              |   |                    |                                 |                               |                           |  |
|                        |                        |   | Ta   | ble II  | l - Derivat         | tive S                                       | ecur   | ities            | Aca                | uired   | l. Disr              | osed of,        | or Be                                  | neficial     | lv Ov   | vned               |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   |                      | convertib       |  |              |   |                    |                                 |                               |                           |  |
| 1. Title of            | 2.                     |   | . Transaction                                    |         | eemed               | 4.   |  | 5. Number        |                    |   |                      |                 | Amount of                              |              | 8. Price of<br>Derivative<br>Security                       |                    | 9. Number o                     |                               | 11. Nature                |  |
| Derivative<br>Security | Conversi<br>or Exerci  |   |  | Execu   |                     |  | action<br>Instr.   | of<br>Derivative |                    |   | ration D<br>nth/Day/ |                 |  |              |   |                    | derivative<br>Securities        | Ownership<br>Form:            | of Indirect<br>Beneficial |  |
| (Instr. 3)             | Price of               | Ι,  | ,,   |         | h/Day/Year)         | 8)   | ,  | Securities       |                    |   |                      |                 | Underlying Derivative Security (Instr. |              | (Instr. 5)  |                    | Beneficially                    | Direct (D)                    | Ownership                 |  |
|                        | Derivative<br>Security | e   |  |         |                     |  |  |                  | Acquired<br>(A) or |   |                      |                 |  |              |   |                    | Owned Following                 | or Indirect<br>(I) (Instr. 4) | (Instr. 4)                |  |
|                        |                        |   |  |         |                     | Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |  |                  |                    |   | and 4)               | and 4)          |  |              | Reported<br>Transaction                                     | (e)                |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   |                      |                 |  |              | (Instr. 4)  | (3)                |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   |                      |                 |  |              |   |                    |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   |                      |                 |  | Amount       |   |                    |                                 |                               |                           |  |
|                        |                        |   |  |         |                     |  |  |                  |                    |   |                      |                 |  | or<br>Number |   |                    |                                 |                               |                           |  |
|                        |                        |   |  |         |                     | Code   | l <sub>v</sub>   | (A)              | (D)                | Date<br>Exer  | cisable              | Expiration Date | Title                                  | of<br>Shares |   |                    |                                 |                               |                           |  |
|                        |                        |   |  | 1       |                     |  |  |                  |                    | 1   |                      | 1               |  |              |   |                    |                                 |                               | 1                         |  |

## **Explanation of Responses:**

- $1. \ Sales \ were \ effected \ pursuant \ to \ 10b5-1 \ trading \ plan \ dated \ March \ 11, \ 2013.$
- 2. Reflects the weighted average sales price with transactions in a range of Sales prices from \$15.81 to \$16.05. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.
- 3. Shares reported include unvested Restricted Stock Units (RSUs).

By: Erik Lichter For: Gary B Smith

05/29/2013

\*\* Signature of Reporting Person

Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly \ or \ indirectly.$ 

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.