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# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden

Estimated average burden hours per response: 0.5

1. Name and Addre MOYLAN J	ss of Reporting Pers	son*	2. Issuer Name and Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ]		ationship of Reporting Pe ( all applicable) Director	10% Owner	
			-	- X	Officer (give title	Other (specify	
(Last)     (First)     (Middle)       C/O CIENA CORPORATION       7035 RIDGE RD.		(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/20/2019		below) SVP Finance	below) e, CFO	
			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable			
(Street)				Line)			
HANOVER	MD	21076-1426			Form filed by One Re	porting Person	
		21070-1420	-		Form filed by More the Person	an One Reporting	
(City)	(State)	(Zip)					

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

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1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Common Stock	12/20/2019		F		2,256 <sup>(1)</sup>	D	\$42.39	367,173 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		2,306(1)	D	\$42.39	364,867 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		962 <sup>(3)</sup>	D	\$42.39	363,905 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		5,198 <sup>(3)</sup>	D	\$42.39	358,707 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		2,677 <sup>(4)</sup>	D	\$42.39	356,030 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		2,142 <sup>(4)</sup>	D	\$42.39	353,888 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		676 <sup>(5)</sup>	D	\$42.39	353,212 <sup>(2)</sup>	D			
Common Stock	12/20/2019		F		951 <sup>(6)</sup>	D	\$42.39	352,261 <sup>(2)</sup>	D			

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deriv Secu Acqu (A) or Dispo of (D) (Instr	5. Number of Expiration Date Derivatives Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

### Explanation of Responses:

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/14/2016. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/14/2017.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/12/2017. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/20/2018.

4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/18/2018. The earning of the PSU was previously reported on Table I of reporting person's Form 4 on 12/19/2019.

5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/15/2015. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/17/2015.

6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2016. Acquisition of the RSU was previously reported in Table I of reporting person's Form 4 on 12/16/2016.

<u>By: Michelle Rankin For:</u> James E. Moylan Jr.

\*\* Signature of Reporting Person Date

12/23/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.