Check

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | $D \subset$ | 20549 | |
|--------------|-------------|-------|--|
| vasiliigton, | D.C. | 20549 | |

| Check this box if no longer subject |
|-------------------------------------|
| to Section 16. Form 4 or Form 5 |
| obligations may continue. See |
| Instruction 1(h) |

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* MOYLAN JAMES E JR | | | | | | 2. Issuer Name and Ticker or Trading Symbol CIENA CORP [CIEN] | | | | | | | | | 5. Relationship of Reporting Person(s) to (Check all applicable) Director 10% (Officer (give title Other | | | | | |
|---|---|--|--------------------------------------|----------------------------------|---|---|---|--------|---|---|--------------------|-------------------------|--|----------------------------|---|---|--|---|--|--|
| (Last) | (Fir | , | Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 09/20/2023 | | | | | | | | X | belov | <i>I</i>) | bel ance, CFO | er (specify ow) | | |
| 7035 RII | 4. If A | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | 6. Individual or Joint/Group Filing (Check Applicable Line) | | | | | | | | | | |
| (Street) HANOVER MD 21076-1426 | | | | | | | | | | | | | | | X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| (City) (State) (Zip) | | | | | | Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to | | | | | | | | | | | | | | |
| | | | | | | satisfy t | he affir | mative | defense (| conditi | ons of Rule 10 | 0b5-1(c) | . See Ins | tructio | n 10. | | en pian that is | intended to | | |
| 1 Title of | Coourity (Inc | | I - No | n-Deriva | | _ | | | uired, | Dis | posed of | - | | | Own | | 6. Ownershi | 7. Nature | | |
| 1. Title of Security (Instr. 3) | | | 2. Transaction Date (Month/Day/Year) | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5) | | | s, 4 and Se Be Ov | | ies cially Following | Form: Direct (D) or Indirect (I) (Instr. 4) | of Indirect | | | | |
| | | | | | | | Code | v | Amount | (A) (D) | or Pric | e | Report Transa (Instr. 3 | ction(s) 3 and 4) | | (1130.4) | | | | |
| Common | Stock | | | 09/20/2 | 2023 | | | | F | | 373(1) | D | \$47 | 7.96 | 244,053 ⁽²⁾ | | D | | | |
| Common | Stock | | | 09/20/2 | 2023 | | | | F | | 314(3) | D | \$47 | 7.96 | 243,739 ⁽²⁾ D | | | | | |
| Common | Stock | | | 09/20/2 | 2023 | | | | F | | 575 ⁽⁴⁾ | D | \$47 | 7.96 | 243,164 ⁽²⁾ D | | | | | |
| Common | Stock | | | | | | | | | | | | | | 10 | By spousal trust ⁽⁵⁾ | | | | |
| | | Tal | | | | | | | | | osed of, convertib | | | | Owne | t | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | if any | emed ion Date, //Day/Year) | 4. Transa Code (8) | ction of | | | Expiration Date (Month/Day/Year) S U D S | | | | Amount of Securities | | Price of rivative curity str. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4) | Owners Form: Direct (or Indir (I) (Inst | Beneficial Ownership ect (Instr. 4) | | |
| | | | | | Code | v | (A) | (D) | Date Exercisable | | Expiration Date | Title | Amount or Number of Shares | er | | | | | | |

Explanation of Responses:

- 1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/18/2020.
- 2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).
- 3. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/16/2021.
- 4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 12/15/2022.
- 5. The beneficiary of the trust is the reporting person's spouse. The reporting person disclaims beneficial ownership of the securities held by the trust.

By: Michelle Rankin For: James E. Moylan Jr.

09/21/2023

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.