Form 144 Filer Information SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 144

**FORM 144** 

NOTICE OF PROPOSED SALE OF SECURITIES

PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

#### 144: Filer Information

Filer CIK 0001032553
Filer CCC XXXXXXXX
Is this a LIVE or TEST Filing? • LIVE • TEST

**Submission Contact Information** 

Name

Phone

E-Mail Address

### **144: Issuer Information**

Name of Issuer Ciena Corporation

SEC File Number 001-36250

7035 Ridge Road

Address of Issuer

Hanover

MARYLAND

21076

Phone 1-410-694-5700

Name of Person for Whose Account the Securities are To Be Sold Gary B. Smith

See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.

Relationship to Issuer Officer

### 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value			SACHIPITIAC
Common Stock	Goldman Sachs & Co. LLC 200 West Street New York NY 10282	50024	2215062.72	149498607	06/15/2023	NYSE

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the Date you Nature of Name of Is Date Amount of Date of Nature of Acquisition **Person from** Payment \* Class Acquired this Donor Securities **Payment Transaction** Acquired Acquired

		Whom Acquired	a Gift?		
Common Stock 12/20	Acquired as /2020 Compensation Restricted Stock Units	Issuer		3423	12/20/2020 Compensation
Common Stock 12/20	Acquired as /2020 Compensation Restricted Stock Units	Issuer		3051	12/20/2020 Compensation
Common Stock 12/20	Acquired as /2020 Compensation Restricted Stock Units	Issuer		4018	12/20/2020 Compensation
Common Stock 12/20	Acquired as /2020 Compensation Restricted Stock Units	Issuer		2808	12/20/2020 Compensation
Common Stock 12/20	Acquired as /2020 Compensation Restricted Stock Units	Issuer		2763	12/20/2020 Compensation
Common Stock 03/20	Acquired as /2021 Compensation Restricted Stock Units	Issuer		11860	03/20/2021 Compensation
Common Stock 06/20	Acquired as /2021 Compensation Restricted Stock Units	Issuer		11863	06/20/2021 Compensation
Common Stock 09/20	Acquired as /2021 Compensation Restricted Stock Units	Issuer		10238	09/20/2021 Compensation

<sup>\*</sup> If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

# 144: Securities Sold During The Past 3 Months

Nothing to Report

# 144: Remarks and Signature

Remarks

The sales of shares set forth herein are made in connection with a selling plan

Remarks

dated March 11, 2023 that is intended to comply with Rule 10b5-1(c).

Date of Notice 06/15/2023

Date of Plan Adoption or Giving of 03/11/2023

Instruction, If Relying on Rule 10b5-1

**ATTENTION:** 

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading

instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature

Goldman Sachs & Co. LLC on behalf of Gary B. Smith

ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)