Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, I	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL		
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person' (Check all applicable) CIENA CORP [CIEN] SMITH GARY B Director X 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) X (Middle) below) below) (Last) (First) 10/02/2023 President, CEO C/O CIENA CORPORATION 7035 RIDGE RD. 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) Form filed by One Reporting Person (Street) Form filed by More than One Reporting 21076-1426 **HANOVER** MD Person Rule 10b5-1(c) Transaction Indication (City) (State) (Zip) Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2A. Deemed 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 6. Ownership 7. Nature Transaction **Execution Date** Securities Beneficially Form: Direct (Month/Day/Year) if anv Code (Instr. (D) or Indirect Beneficial (Month/Day/Year) 8) Owned Following Reported (I) (Instr. 4) Ownership (Instr. 4) (A) or (D) Transaction(s) Code ν Amount Price (Instr. 3 and 4) 415,174(3) Common Stock 10/02/2023 4,166(1) D \$47.32(2) D S Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed Execution Date, 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 10. 11. Nature Conversion Expiration Date Derivative Derivative Transaction Amount of derivative Ownership of Indirect Derivative Security or Exercise (Month/Day/Year if any Code (Instr. (Month/Dav/Year) Securities Security Securities Form: **Beneficial** (Instr. 3) (Month/Day/Year) Beneficially Direct (D) Ownership (Instr. 4) Securities (Instr. 5) Derivative or Indirect (I) (Instr. 4) Acquired Derivative Owned Security (A) or Disposed Security (Instr. 3 and 4) Following Reported of (D) (Instr. 3, 4 Transaction(s) (Instr. 4) and 5)

Explanation of Responses:

- 1. Sales were effected pursuant to Rule 10b5-1 trading plan dated 03/11/2023
- 2. Reflects the weighted average sales price with transactions in a range of sales from \$47.00 to \$48.03. Upon request by the SEC, full information regarding the number of shares sold at each separate sales price will be provided.

Exercisable

(A)

(D)

3. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

By: Michelle Rankin For: 10/04/2023 Gary B Smith

** Signature of Reporting Person Date

Amount Number

Shares

Expiration

Date

Title

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Code

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.