FORM 4

Check this box if no longer subject

to Section 16. Form 4 or Form 5 obligations may continue. See

Instruction 1(b).

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549	

STATEMENT	OF CHA	ANGES IN	BENEFIC	IAL OV	VNERSHIP

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Address of Reporting Person*     DiPerna Dino					2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									lationship ck all app Direc	licable)	ng Per	rson(s) to Is		
(Last)	(Fir	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/12/2023						X	Office below	er (give title v) SVP Glo		Other (s below)	specify			
C/O CIENA CORPORATION 7035 RIDGE RD.				4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)							
(Street)														X	Form	filed by On	e Rep	orting Perso	on
HANOV	ER MI	D 2	21076-1426												Form Perso		re than	n One Repo	orting
(City)	(Sta	ate) (Z	(Zip)				Rule 10b5-1(c) Transaction Indication												
						Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.													
		Table	I - No	n-Deriva	tive S	Secu	rities	Acq	uired,	Dis	posed of	, or E	Bene	ficial	y Own	ed			
1. Title of Security (Instr. 3)  2. Transac Date (Month/Da			Execution Date,				es Acquired (A) Of (D) (Instr. 3, 4			Securit Benefic Owned	ities For icially (D) d Following (I) (		n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership					
							Code	v	Amount	(A) (D)	or F	Price	Report Transa (Instr. 3	ed ction(s) 3 and 4)			(Instr. 4)		
Common Stock 12/12/			12/12/2	2023				A		21,799(1)	A	1	\$0.0	48	,788 <sup>(2)</sup>		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	vative Conversion Date Execution Date, urity or Exercise (Month/Day/Year) if any		4. Transa Code ( 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Inst 3 and 4)		D S (Ii	Price of erivative ecurity nstr. 5)			10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
					Code	v	(A)	(D)	Date Exercis	able	Expiration Date	Title	Amor or Numl of Share	ber					

## **Explanation of Responses:**

- 1. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year, commencing on
- 2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Michelle Rankin For: 12/13/2023 Dino Diperna

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.