FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Machinatan	D C	20540	
Nashington,	D.C.	20049	

<b>STATEMENT</b>	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL									
OMB Number:	3235-0287								
Estimated average burden									
hours per response:	0.5								

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>Gage Brodie</u>					2. Issuer Name and Ticker or Trading Symbol CIENA CORP [ CIEN ]									ationship of Repor (all applicable) Director		10%		Owner	
(Last)	(Fir	,	Middle)		3. Date of Earliest Transaction (Month/Day/Year) 12/12/2023								X	below	er (give title v) Global Pr	oduct	Other (sbelow) ts & Supp	·	
7035 RIDGE RD.					4. If A	Amendi	ment,	Date o	f Origina	al Filed	d (Month/Da	y/Year)		6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street) HANOV	ER MI	D 2	1076-1	426									X		filed by On filed by Mo on		•		
(City)	(St	ate) (Z	Zip)		$ _{\Box}$	Check th	nis box	to indic	cate that	a trans	tion Indi action was mand ons of Rule 10	ade purs	uant to a	contra	act, instri 1 10.	uction or writt	ten pla	n that is inte	nded to
		Table	I - No	n-Deriva	tive S	Secui	rities	Acq	uired,	Dis	posed of	or B	enefic	ially	Own	ed			
Date			2. Transac Date (Month/Da	Execu ay/Year) if any		2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction		4. Securities Acquired (A Disposed Of (D) (Instr. 3, 5)				5. Amount of Securities Beneficially Owned Following		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)		e	Report Transa (Instr. 3	ction(s)			(Instr. 4)
Common	Stock			12/12/2	2023	023			A		20,078(1)	A	\$(	0.0	46,379(2)			D	
		Tal									osed of, convertib				Owne	d			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	if any	emed ion Date, /Day/Year)	4. Transa Code ( 8)	Instr.	5. Nu of Deriv Secu Acqu (A) or Dispo of (D) (Instrand 5	rities lired r osed )	6. Date Expirati (Month/	ion Da Day/Y		3 and 4	nt of ties ying tive ty (Instr.	Der Sec	Price of ivative curity str. 5)	9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	у	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)

## **Explanation of Responses:**

- 1. Reflects Restricted Stock Units (RSUs) that vest over four years, with one-sixteenth of the grant amount vesting on March 20, June 20, September 20, and December 20 of each year, commencing on March 20, 2024.
- 2. Shares reported include unvested Restricted Stock Units (RSUs).

By: Michelle Rankin For: 12/13/2023 **Brodie Gage** 

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.