SEC Form 4
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

SHIP	OMB Num
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Check this box if no longer subject to Section 16. Form 4 or Form 5	STATEMENT OF C
obligations may continue. See	
Instruction 1(b).	Filed pursuant to

## STATEMENT OF CHANGES IN BENEFICIAL OWNER

oursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Cumello Joseph		erson <sup>*</sup>	2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>CIENA CORP</u> [ CIEN ]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner					
(Last) C/O CIENA C			3. Date of Earliest Transaction (Month/Day/Year) 12/20/2023	X Officer (give title Other (specify below) below) SVP, General Mgr. Blue Planet					
7035 RIDGE RD.			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable Line)					
				X Form filed by One Reporting Person					
(Street) HANOVER	MD	21076-1426		Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Rule 10b5-1(c) Transaction Indication						
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.						

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)
Common Stock	12/20/2023		F		138(1)	D	\$43.58	58,207 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		194(3)	D	\$43.58	58,013 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		112(4)	D	\$43.58	57,901 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		<b>299</b> <sup>(5)</sup>	D	\$43.58	57,602(2)	D	
Common Stock	12/20/2023		F		123(6)	D	\$43.58	57,479 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		182(7)	D	\$43.58	57,297 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		308(8)	D	\$43.58	56,989 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		459 <sup>(9)</sup>	D	\$43.58	56,530 <sup>(2)</sup>	D	
Common Stock	12/20/2023		F		872(10)	D	\$43.58	55,658 <sup>(2)</sup>	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Derivative	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		5. Number of Derivatives Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		te			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

## Explanation of Responses:

1. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/16/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.

2. Shares reported include unvested Restricted Stock Units (RSUs) and Performance Stock Units (PSUs).

3. Represents shares withheld to cover payment of tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 10/1/2020. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.

4. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/17/2019. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.

5. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/13/2022. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023.

6. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 2/1/2023. Acquisition of the RSU was previously reported in Table I of the reporting person's Form 4 filed on 2/3/2023.

7. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a restricted stock unit (RSU) award agreement dated 12/14/2021. Acquisition of the RSU was previously reported in Table I of reporting person's Form 3 filed on 2/3/2023

8. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a market stock unit (MSU) award agreement dated 12/16/2020. The earning of the MSU was previously reported on Table I of the reporting person's Form 4 filed on 12/14/2023.

9. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/13/2022. The earning of the PSU was previously reported on Table I of the reporting person's Form 4 filed on 12/14/2023

10. Represents shares withheld to cover payment of the tax liabilities of the reporting person related to a performance stock unit (PSU) award agreement dated 12/14/2021. This PSU was previously reported on Table I of the reporting person's Form 3 filed on 2/3/2023.



12/21/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.